



TO: Audit Committee

FROM: Head of Audit & Assurance

DATE: 14 April 2015

PORTFOLIOS AFFECTED: All

WARDS AFFECTED: All

TITLE OF REPORT: Risk Management – 2014/15 Quarter 3 Review

1. PURPOSE

To provide Members with details of the risk management activity that has taken place in the period from 1 October to 31 December 2014.

2. RECOMMENDATIONS

The Committee is asked to:

- discuss, review and challenge the progress made on the Corporate Risk Register as at the end of Quarter 3 2014/15.

3. BACKGROUND

The Council recognises that risk management is not simply a compliance issue, but rather it is a way of viewing its operations with a significant impact on long-term viability. Risk Management helps to demonstrate openness, integrity and accountability in all of the Council's activities. It also benefits how we operate.

4. RATIONALE

The terms of reference require the Audit Committee to review progress on risk management. The Corporate Risk Management Strategy requires that the Audit Committee will receive a summarised quarterly report setting out progress against corporate risk management action plans. This report meets both of these requirements.

5. KEY ISSUES

The Corporate Risk Register currently contains a total of 15 risks. A summary of the risks is attached at Appendix 1. Currently the top corporate risks are:

Risk No.	Risk Description	Risk Owner
01	Adequacy of Resources	Denise Park
15	High profile serious/critical safeguarding incident/case that is known to Council services.	Sally McIvor

As part of the Council's Risk Management process we review and monitor our Corporate Risks on a regular basis to ensure that we have the appropriate,

properly assessed corporate risks going forward. This is monitored through the Corporate Risk and Resilience Forum (CRRF) and reported to Management Board.

The content of the corporate risk register has been reviewed and challenged by the CRRF at their meeting of 19 January 2015. The revisions, which included the creation of a new risk (for health & safety) and the merging of two other risks (Risk No. 1: Adequacy of Resources and Risk No. 10: Failure to deliver the downsizing and transformation programme) were approved by the Executive Team on 10 February 2015. The corporate risk register incorporating these changes will be updated in quarter 4 and subsequently reported to this Committee.

During the year the Council has continued to utilise the risk management support available from Zurich Municipal to complete the reviews of Motor Fleet risks and stress management, as well as the claims defensibility review carried out by our insurance brokers, Aon. We have also arranged training for Environmental Services managers regarding the defence of employer liability claims.

We are liaising with Health & Safety and Markets colleagues regarding additional areas of risk management support to be undertaken. Areas under consideration include a review of the risks associate with the operation of the Council markets, lone working arrangements, and health and safety training for building managers, head teachers and site supervisors.

6. POLICY IMPLICATIONS

There are no policy implications arising from this report.

7. FINANCIAL IMPLICATIONS

There are no financial implications arising from this report.

8. LEGAL IMPLICATIONS

There are no legal implications arising from this report.

9. RESOURCE IMPLICATIONS

There are no direct resource implications arising from this report.

10. EQUALITY AND HEALTH IMPLICATION

There are no equality implications arising from this report.

11. CONSULTATIONS

The Corporate Risk Register has been reviewed and updated by the Corporate Risk & Resilience Forum and agreed by Management Board.

Contact Officer:	Paul Hankinson, Audit & Assurance Manager – Ext: 5630
Date:	2 April 2015
Background Papers:	Risk Management – 2014/15 Quarter 2 Review Corporate Risk Management Strategy